



TO : **Regulated Entities:**

- i. **Alternative Investment Fund Managers ('AIFMs')**
- ii. **UCITS Management Companies ('UCITS MC')**
- iii. **Self-Managed UCITS ('SM UCITS')**
- iv. **Self-Managed Alternative Investment Funds ('SM AIFs')**
- v. **Self-Managed Alternative Investment Funds with Limited Number of Persons ('SM AIFLNP')**
- vi. **Companies with sole purpose the management of AIFLNPs**

FROM : **Cyprus Securities and Exchange Commission**

DATE : **July 2, 2019**

CIRCULAR No : **C324**

FILE No : **01.13.001.002.002**

SUBJECT : **Management Companies and Self-Managed Funds Quarterly Statistics - Revised Form**

The present Circular is issued pursuant to section 25(1)(c)(ii) & (iii) of the Cyprus Securities and Exchange Commission Law ('the CySEC Law').

The Cyprus Securities and Exchange Commission ('the CySEC') wishes to inform Regulated Entities about the following:

1. Information Requested by CySEC

A new version of the form, **QST-MC Version 5** ('the Form'), found in the [Appendix](#), is issued. The Form must be completed and submitted to CySEC by **all Regulated Entities that were authorised by June 30, 2019**. In this respect, Regulated Entities that were authorised by June 30, 2019, but have not made use of their authorisation **must also submit the Form**.

2. Additional information Requested

The following sections were amended in order to include the new category of Alternative Investment Funds, the Registered AIFs ('RAIFs'), which have been introduced with Law 124(I)/2018. Following the instructions included in the form, information regarding RAIFs, must be presented separately.

- Section A - Unit-holders, UCIs, AUM and Investment Strategy
- Section D - Geographical Focus of Investment Strategy

- Section E - Analysis of Clients
- Section F4 - RAI Fs NAV by Geographical Analysis of Unit-holders' Origin (**New Section**)

3. Submission date of the Form

The table below summarise the datelines for the submission of the Form, which are the same as described in Circular 281. Based on the following table the dateline for the submission of the Form for the Q2 2019, **is 15 July 2019**.

Reference Period	Submission Date
01/01/20XX - 31/03/20XX	15 April 20XX
01/04/20XX - 30/06/20XX	15 July 20XX
01/07/20XX - 30/09/20XX	15 October 20XX
01/10/20XX - 31/12/20XX	15 January 20XX (of the following year)

4. Successfully submission

- 4.1. Successfully submission is considered only if REs successfully submit the Form through the TRS System and receive the positive feedback file that is dispatched on the Outgoing folder of the TRS System.
- 4.2. CySEC emphasises the importance of meeting the deadline of 15 July 2019, **and stresses that NO extension will be granted**.
- 4.3. **Failure to promptly and duly comply with the above will bear the administrative penalties of section 37(5) of the CySEC Law.**

5. Support

5.1. Queries on how to complete the fields of the Form

In case you have any queries on the completion of the Form, please submit them **only** in writing by sending an email to riskstatistics.fundmgrs@cysec.gov.cy. All email communication should include in the subject, the Regulated Entity full name and the TRS coding.

5.2. Technical Queries on digitally signing and submitting the Form

For technical matters on digitally signing and submitting the Form, REs are advised to frequently visit the CySEC's website at the specified [section](#). For further clarifications, REs are requested to use the electronic address information.technology@cysec.gov.cy.

All email communication with CySEC should include, in the subject, the REs full name and the TRS coding.

Yours sincerely,

Demetra Kalogerou
Chairman, Cyprus Securities and Exchange Commission