# 

**NOTIFICATION OF INTENTION**

**OF EEA CASP TO OPERATE IN CYPRUS**

**Name of CASP : «…..………………………………………………………………..»**

**NCA Authorisation Number : «…………………………………………………………………….»**

**Purpose of this form**

This form should be completed by EEA CASPs, for the purposes of Section 61E(2)(b) of the Prevention and Suppression of Money Laundering and Terrorist financing Law.

Please submit this form to the Cyprus Securities and Exchange Commission **(“CySEC”)** **by email in pdf format at** [**eeacasp@cysec.gov.cy**](mailto:eeacasp@cysec.gov.cy)**.**

**Do not alter the existing content of this form.**

**Section 1 - Information about the CASP**

|  |  |
| --- | --- |
| * 1. Name of CASP: |  |
| 1.2 Trading name: |  |
| 1.3 Address: |  |
| 1.4 Telephone number: |  |
| 1.5 E-mail: |  |
| 1.6 Name of the contact person at the CASP: |  |
| 1.7 Registration Status: | Registered with: |
| 1.8 Registration Date: |  |
| 1.9 Please indicate whether the activities you intend to engage in Cyprus are covered by your registration with an EEA NCA | 🞎Yes  🞎No  If your answer is ‘NO’, you will need to submit an application to be fully registered as a CASP with CySEC |
| 1.10 Please provide the relevant reference number and the link to the EEA public registry confirming your assertion above or submit any other official evidence. |  |

**Section 2 - Intended services and activities**

Please indicate the services and activities that the Applicant wishes to provide and/or perform (as the case may be) in Cyprus.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Services and activities in relation to Crypto Assets (as per the Annex to the Directive for the register of crypto assets services providers) in respect of which the applicant proposes to engage in Cyprus.** | | | | | |
| **No.** | 1. **Crypto Asset Services as defined in Class 1 of the Annex to the Directive** | | | | | **Tick where applicable (✓)** |
| **1.** | **Provision of investment advice regarding Crypto Assets** | | | | |  |
| **No.** | 1. **Crypto Asset Services as defined in Class 2 of the Annex to the Directive** | | | | | **Tick where applicable (✓)** |
| **1.** | **Reception and transmission of client orders** | | | | |  |
| **2.** | **Execution of orders on behalf of clients** | | | | |  |
| **3.** | **Exchange between crypto assets and fiat currency** | | | | |  |
| **4.** | **Exchange between crypto assets** | | | | |  |
| **5.** | **Participation and/or provision of financial services related to the distribution, offering and/or sale of crypto-assets, including the initial offering** | | | | |  |
| **6.** | **Placement of crypto assets without firm commitment** | | | | |  |
| **7.** | **Portfolio management** | | | | |  |
| **No.** | 1. **Crypto Asset Services as defined in Class 3 of the Annex to the Directive** | | | | | **Tick where applicable (✓)** |
| **1.** | **Administration, transfer of ownership, transfer of site, holding, and/or safekeeping, including custody, of crypto-assets or cryptographic keys or means enabling control over cryptoassets** | | | | |  |
| **2.** | **Underwriting and/or placement of crypto-assets with firm commitment** | | | | |  |
| **3.** | **Operation of a multilateral system, which brings together multiple third-party buying and selling interests in crypto-assets in a way that results in a transaction.** | | | | |  |
| **A21** | | **Does the applicant intend on holding funds or financial instruments or crypto-assets belonging to clients (even on a temporary basis)?**  **Please tick where applicable (✓)** | | | | |
|  | | **Yes** |  |  |  | |
|  | | **No** |  |  |  | |
|  | | | | | | |
| **A22** | | **Provide details below of the applicant’s regulatory or legal background, both in the Republic or elsewhere, under both its current legal name and all prior legal names, which could impact on the registration decision.** | | |  | |

**Section 3 - Attestation**

**Ι responsibly declare, having full knowledge of the consequences of the Cyprus Securities and Exchange Commission Law (the “CySEC Law”), that:**

1. **I have exercised all due diligence in ensuring that all the information stated in this notification, as well as the details and documents that accompany it are correct, complete and accurate.**
2. **I am authorised to sign on behalf of the CASP.**

**I acknowledge and accept that the CySEC may reveal information in the discharge of its duties, as these are defined in the CySEC Law or in the relevant legislation.**

**In accordance with section 41 of the Cyprus Securities and Exchange Commission Law, I understand that the provision of false, or misleading information or data or documents or forms, or the withholding of material information from the current notification, is a criminal offence.**

Full name: …………………...…………….............................................................................

Position held in the CASP:…………..……………………………………………………………………..

Signature: ……………………………………..……………………………………………………………………

Date: ……………………………………….………………………………………………………………………..