

TO : Cyprus Investment Firms ('CIFs')

FROM : Cyprus Securities and Exchange Commission

DATE : May 4, 2022

CIRCULAR No: C506

FILE No : E.K. 02.03.001, E.K. 01.03.004 and E.K. 01.13.001.002.002

SUBJECT : Risk Based Supervision Framework (the 'RBS-F') - Electronic submission

of information for the year 2021 (Form RBSF-CIF) - updated version of

the Form RBSF-CIF

The present Circular is issued pursuant to section 25(1)(c)(ii) & (iii) of the Cyprus Securities and Exchange Commission Law of 2009 (the 'CySEC Law'), as amended and in continuation to Circular 503. Please note that Circular 503 and the present Circular, must be read together.

Further to **Circular 503** with subject 'Risk Based Supervision Framework (the 'RBS-F') – Electronic submission of information for the year 2021 (Form RBSF-CIF)', issued on April 20th, 2022, the Cyprus Securities and Exchange Commission (the 'CySEC') wishes with this Circular, to inform the Cyprus Investment Firms (the 'CIFs') about the following:

- 1. Due to a validation error in Section G of Form RBSF-CIF, version 5, which may cause technical errors in the submission of the Form, the Form is now revised. The <u>revised version</u> of Form RBSF-CIF, version 6, can be found in the <u>Appendix</u>. All CIFs are requested to use this revised version of the Form, in their submission. Please note that the only amendment in the revised Form, is the correction of the validation test, in Section G. All other information contained in version 5 of the Form, remains the same in version 6 of the Form.
- 2. As a result of the revision of the Form, CySEC would like to inform the CIFs that the deadline for the submission of the Form, will be extended by one week. In this respect, the revised version of the Form (i.e. version 6), must be completed and successfully submitted electronically via the CySEC's Transaction Reporting System ('TRS') by 15:00 hrs, Friday, June 3, 2022, the latest, by all CIFs that were authorised by December 31, 2021.

Yours sincerely,

Dr. George Theocharides Chairman, Cyprus Securities and Exchange Commission